

ROSSIE HOUSE INVESTMENT MANAGEMENT

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Capital Adequacy

Under the current Financial Conduct Authority rules, which came into force on 1st January 2022, Rossie House Investment Management qualifies as a MIFIDPRU Small Non-Interconnected (SNI) firm. As such, we undertake an IFPR review on a regular basis to determine what capital we are required to hold under the Financial Conduct Authority's capital adequacy rules.

Further, our accounts and records are prepared on the basis that we are permanently able to ensure that we comply with our capital adequacy requirements.