

Order Execution Declaration.

We have a responsibility to ensure, when dealing on your behalf, that we look to settle any sale or purchase at the best possible price, and in a timeous fashion. Our best execution policy provides a full list of the factors we seek to take into account on all such deals.

In practice we have a contractual arrangement with Platform Securities LLP to place all orders for trading with them. This means that it is Platform Securities who selects the execution venue where the trade, including the price, is set. Our regulator, the Financial Conduct Authority (FCA), permits us to place all trades through a single dealer, provided that we at Rossie House can justify our decision to do so, and that we keep that decision under regular review.

We are satisfied that Platform Securities' own Best Execution criteria matches our own. In addition, we carry out regular due diligence on Platform Securities as well as spot checks to ensure that they are achieving the best prices for individual deals. Where anomalies arise, we seek full explanations as to how they have arisen.

As a partnership, we regularly review and assess the dealing service we are receiving from Platform Securities and will continue to do so. We are satisfied that Platform Securities are providing a high quality execution service for our clients.

The FCA has introduced a new requirement whereby firms placing orders for dealing are required to publish details, on an annual basis, of the top five execution venues which they use to execute orders along with details of its overall trading arrangements. This information is termed as Regulatory Technical Standard (RTS) 28.

A copy of our latest RTS 28 can be found in our website's Regulatory section. This has been prepared for us by Platform Securities and covers all transactions undertaken for our retail clients. It is updated annually. You can check further on Platform Securities' own website under their 'Resource Centre' heading.